



## **Prudential Bache Commodities, LLC Business Continuation Plan Overview**

### **I. Our Business**

Prudential Bache Commodities, LLC (“PBCL”) provides sales and execution services in US and foreign commodity markets, largely but not exclusively, for an institutional customer base. PBCL’s personnel are housed in one of our branch offices, which are located in New York, Chicago, Kansas City, Mo., and Minneapolis. PBCL is a self-clearing Futures Commission Merchant (“FCM”).

### **II. Overview of Our Business Continuation Plan**

PBCL, as part of Prudential, has access to extensive resources, both in terms of alternate physical locations from which to conduct business, as well as systems resources to provide disaster recovery planning. Because we conduct business activities from multiple locations, we have made arrangements to relocate associates to one of several backup locations in the event that a primary work location is unavailable.

PBCL’s Business Continuation Plan (“BCP”) specifies how we will respond to events that significantly disrupt our business and addresses: Safeguarding our employees and property; ensuring data backup and recovery; restoring mission-critical systems as well as critical regulatory and operational activities; and providing alternative communications with our customers, associates, vendors, and regulators. We plan to continue in business, transfer operations to alternate sites as needed, and maintain as much transparency to our constituents as possible during a disruption. The BCP is updated and tested regularly.

#### **Events Impacting Commodity Exchanges**

If a problem were to cause the closure of a particular commodity exchange(s), we would follow its direction with regard to reduced trading hours or closing completely.

### **III. Emergency Contact Information**

→ After a significant business disruption affecting any of our locations customers and others can contact us at 877-742-1016.

Our business continuation plan is subject to change. Updates will be posted on our website at [www.prudentialbache.com](http://www.prudentialbache.com).